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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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OFFICE OF PETITIONS

Applicants: K. V. Ramanathan et al. )  
 Patent No.: 5,948,176 )  
 Issued: September 7, 1999 )  
 Title: Cadmium-Free Junction Fabrication ) Atty. Dkt. No. NREL 97-10  
 Process for CuInSe<sub>2</sub> Thin Film Solar )  
 Cells )

PAYMENT OF DEFICIENCY AUTHORIZATION

Mail Stop M Correspondence  
 Director of the USPTO  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Attn: D. Allen / Maintenance Fee Branch

This Payment of Deficiency Authorization is being submitted concurrent with an Entity Status Change Request, which requests that the status as a small entity for the above-referenced U.S. patent be removed in accordance with 37 C.F.R. § 1.28(c).

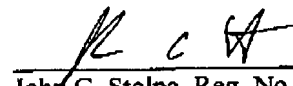
It is requested that the USPTO charge Applicant's Deposit Account No. 14-0460, in the total amount of \$2,055.00. As shown in the chart below, this is the sum of the deficiency between the amount paid for the third maintenance fee (12 payment year) and the amount still due, which is \$2055, pursuant to the loss of entitlement to small entity status.

<u>Filing Date of Original Fee</u>	<u>Type of Fee Paid</u>	<u>Current Fee Amount (as a large entity)</u>	<u>Amount Originally Paid</u>	<u>Deficiency (based on current fee amount)</u>
September 29, 1997	12 Payment Yr	\$4110	\$2055	\$2055

**TOTAL \$2,055**

The USPTO is authorized to charge Applicant's Deposit Account for any under payment required in this matter. The signatory below is a proper signatory under 37 C.F.R. § 1.33(b).

Respectfully submitted,

  
 John C. Stolpa, Reg. No. 57,632  
 Attorney for Applicants

Dated: February 2, 2011

National Renewable Energy Laboratory  
 1617 Cole Blvd.  
 Golden, CO 80401

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ENTITY STATUS CHANGE REQUEST

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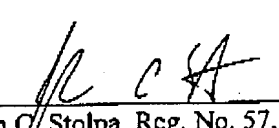
Attn: D. Allen / Maintenance Fee Branch

This is a notification of loss of entitlement to small entity status for the above-referenced patent in accordance with 37 C.F.R. § 1.28(c). It is requested that the entity status of the above-referenced U.S. patent be changed to reflect such loss of entitlement for purposes of paying the third maintenance fee. This declaration is made because the above-referenced U.S. patent was licensed to a large entity organization in 2008 disqualifying this patent for small entity status, pursuant to 37 C.F.R. § 1.27(a)(2)(i).

The 3<sup>rd</sup> maintenance fee was paid at small entity status via a third party vender last month.

A Payment of Deficiency Authorization Letter is being submitted concurrent with this request. The USPTO is authorized to charge Applicant's Deposit Account No. 14-0460 for any under payment required in this matter. The signatory below is a proper signatory under 37 C.F.R. § 1.33(b).

Respectfully submitted,

  
John C. Stolpa, Reg. No. 57,632  
Attorney for Applicants  
(303) 384-7551

Dated: February 2, 2011

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